

112 年度國家科學及技術委員會

人文及社會科學研究海外博士候選人培育計畫申請須知

公布日期：

一、計畫簡介

國家科學及技術委員會為培育人文及社會科學領域之海外¹研究人才，協助具有研究潛力之博士候選人於學位論文寫作階段，專注於論文之撰寫，並提升其論文品質與研究水準，特訂定推動本計畫。

二、執行單位

本計畫執行單位為「財團法人國家實驗研究院科技政策研究與資訊中心」。

三、申請資格及限制

(一)申請人應符合下列資格：

1. 須具中華民國國籍，並於國內經教育部立案之大學校院畢業取得學士學位。
2. 就讀於經教育部公告「外國大學參考名冊²」所收錄之海外大學校院人文及社會科學領域研究所之在學全職(full-time)博士生，於申請截止日前已取得博士候選人資格，並由就讀系所出具證明者。
3. 研究主題屬於人文及社會科學領域相關範疇。

(二)指導教授應符合申請人就讀學校及系所有關指導教授資格規定，且願意指導博士生從事研究工作者。

(三)申請限制：

1. 於大陸、香港及澳門地區各大專校院及學研機構就學或任職者，不得提出申請。

¹本計畫所稱「海外」排除中國大陸及香港、澳門地區。

²「外國大學參考名冊」請參見網址：

<https://depart.moe.edu.tw/ed2500/News.aspx?n=E8380E03A0E16960&sms=D2E10027BB4EC183>

2. 於國內各大專校院設立之海外分校及學術研究機構就學或任職者，不得提出申請。
3. 曾獲選為「人文及社會科學研究海外人才培育計畫」博士候選人類別之培育對象者，不得提出申請。

四、申請期限

申請人應依規定之期限提出申請，逾期不予受理。112年度申請截止日期為民國 112 年 5 月 22 日晚上 23:59 止(以臺灣時間為準)。

五、申請方式

(一)申請人應於申請截止日期前至本計畫網站

(<https://top.stpi.narl.org.tw/>)列文件，逾時不予受理。文件不全或不符合規定者，不予受理。

1. 申請書(以中文或英文線上填寫)，包含聲明書、中英文摘要(各 500 字內)及預期影響(150 字內)。
2. 論文計畫書(以中文或英文撰寫，至多 30 頁，含參考文獻、圖、表、附件等，以 pdf 格式上傳，檔案大小 10MB 以下)。(格式請參考報名網站常見問題及表格)
3. 指導教授基本資料表(線上填寫)。
4. 指導教授初評意見表(請以英文版，由指導教授線上填寫)。
5. 著作目錄(依國科會個人資料表 CM302 格式，頁數以 2 頁為限)。另可上傳 5 年內已出版最具代表性之學術著作至多 3 篇。
6. 申請人就讀系所出具已取得博士候選人資格之相關證明文件。
7. 申請人博士班歷年成績單。
8. 以同一研究計畫向國科會及其他機構(含國內外、大陸地區及港澳)申請補助時，應於計畫申請書內詳列申請國科會及其他機構補助之項目及金額，同一項目及金額不得重複申請補助。

(二)請依線上系統登錄格式內容，詳實完整填寫相關中英文資料，以利後續審查作業。所繳文件如非中文或英文者，應附上中文譯本

繳交。

- (三)申請人於線上系統繳交送出申請文件後，即無法更換文件或補件。請於繳交送出前，審慎確認申請文件內容。

六、審查程序

(一)作業期間

自申請案截止收件之次日起 2.5 月內完成，並核定公布；必要時，得予延長。

(二)審查重點

1. 研究主題之理論價值及創新程度
2. 研究內容之嚴謹程度與研究規劃之可行性
3. 申請人之學術潛力，能有效提升該學術領域之研究能量。

- (三)本計畫申請案件未獲核定補助者，恕不受理申覆。本計畫申請案審查意見，恕不提供查詢。

七、培育方式

- (一)獲遴選為本計畫培育對象者，每名培育對象將獲得研習經費新臺幣 90 萬元。培育期間自議定之培育起始日期起，為期 1 年(365 日曆天)。培育對象得依公告規定培育起始期間範圍(112 年 8 月至 113 年 1 月)逕與執行單位議定培育起始月份(以當月 1 日為起始日)，合約之簽署及研習經費撥款事宜，依通知函規定辦理。

(二)研習經費將分 2 期撥付：

1. **第 1 期研習經費：**第 1 至第 6 個月之研習經費，雙方於簽訂合約且培育對象完成研習經費請領手續後，執行單位於約定之起始日後 30 個日曆天內，將第 1 期研習經費匯入培育對象指定之本人國內帳戶。
2. **第 2 期研習經費：**第 7 至第 12 個月之研習經費，培育對象應依計畫辦公室規定時程內繳交期中進度報告，經計畫辦公室審核通過後始撥付第 2 期研習經費。

八、權利義務

- (一)本計畫培育對象於培育期間，有下列情形之一者，不得領取本計畫研習經費；已領取者，應依合約規定全額繳回：
1. 支領我國政府、國內法人、國內大學或研究機構資助之公費或獎學金。
 2. 培育對象在培育期間已不具備海外大學院校全職(fulltime)學生身份。培育對象如因休學、退學、學業成績評量或其他因素退出本計畫，除停止研習經費之撥付外，且應追繳其已受領之本計畫研習經費。
- (二)獲遴選為本計畫培育對象者，應覓具中華民國國民身份連帶保證人一人，連帶保證人應提供下列文件之一：
1. 提供於我國現職服務機構年資1年以上之證明及最近之全年薪資所得在60萬元以上之證明影本或公告現值280萬元以上並位於我國之不動產所有權證明
 2. 共同開立票面金額九十萬元整之本票乙紙及簽署授權暨同意書。
- (三)培育對象於培育期間如因故需轉換就學機構，須於學籍變更2個月前向執行單位提出申請。經審查通過後始得繼續領取研習經費。未於期限內提出申請，或經審查未獲通過者，除停止研習經費之撥付外，且應繳回已受領之本計畫研習經費。
- (四)培育對象於培育期間除特殊情形外，請假返國總天數不得超過30日，並須居住於就讀學校所在國家至少180日，以與當地學研人員長期互動交流。培育對象須遵守執行單位所訂請假返國相關作業規定及管理措施。如因故需請假返國總天數逾30(含)日以上，或居住於就讀學校所在國家少於180(含)日，應於請假或離開就學國家2個月前，向執行單位提出申請，經審查通過後始得繼續領取研習經費。未提出申請且經審查同意者，視同退出本計畫，除停止研習經費之撥付外，且應繳回已受領之本計畫研習經費。
- (五)計畫執行已達6個月以上，且期中進度報告通過審查者，因取得海外教育研究機構正式職務者，得申請提前終止本計畫，並以日為單位，依未完成日數繳回培育經費至計畫辦公室。
- (六)培育對象因不可抗力因素或其他特殊情形，得向執行單位提出申請，經審查通過者可提請假申請返臺。自返臺後起第31日

起至出境或培育期間屆滿之日止，補助經費以每日新臺幣(下同)1,333 元計算研習經費(以日為單位，不足 1 日以 1 日計)，受培育學人應返還每日 1,167 元研習經費差額予執行單位。依前項但書，需返國或赴留學國以外地區（不包含大陸地區、香港及澳門）繼續執行本計畫者，應事先取得執行單位同意，並應於返國後或赴留學國以外地區 30 日內，依申請須知或本計畫相關規定提出計畫書變更計畫及檢附指導教授同意、校方通知書等相關佐證文件，連同切結書，送執行單位審查得否繼續執行本計畫。

(七)培育對象需依合約書規定，於執行單位規定時程內繳交期中進度報告與期末成果報告。於培育期間及培育期滿 3 年內，應配合執行單位之規劃進行書面或線上成果發表 2 次，且提供發展動向相關說明資料等，並參與相關學術社群活動。

(八)培育對象取得博士學位後，應至本計畫網站線上繳交經我國駐外館(處)驗證之博士學位證書及博士論文電子檔，培育期間結束後 3 年內未繳交前述資料者，應繳回本計畫研習經費。

1. 若有特殊情形無法於前述期限繳交前述資料者，應於期限內來文檢附相關文件辦理延期，延長期間最多以 2 年為限。
2. 所繳交之博士論文無償由國家科學及技術委員會及其附屬單位視實際需要以非營利為目的利用，除有正當理由外，不得限制之。

(九)培育對象如以計畫成果發表期刊論文或出版著作者，須註明本計畫名稱「112 度國家科學及技術委員會人文及社會科學研究海外人才培育計畫」(2023 National Science and Technology Council Taiwanese Overseas Pioneers Grants (TOP Grants) for PhD Candidates)。(以中文或外文撰寫均可)。

九、學術倫理規範

培育對象所提本計畫申請書及博士論文，如涉有違反學術倫理情事，且經學術審查委員會審查就違反學術倫理行為證據確切者，應無條件繳回培育經費。

十、其他注意事項

(一)本計畫之相關資訊與公告請密切注意本計畫網站

Website：<https://top.stpi.narl.org.tw/>

聯絡資訊：

電子信箱：topgrants@narlabs.org.tw 聯絡人：沈小姐

聯絡電話：+886-2-2737-7781

聯絡地址：10636 臺北市和平東路二段 106 號 1 樓

(二)本簡章如有未盡事宜，應依本計畫合約書及其他有關法令規定辦理。

(三)執行單位保留隨時修改、變更及補充簡章內容之權利。

2023 National Science and Technology Council Taiwanese Overseas Pioneers Grants (TOP Grants) for PhD Candidates

1. Program Overview

In an effort to nurture research talent overseas in the fields of the humanities and social sciences, the National Science and Technology Council (NSTC) has designed and implemented this program to help PhD candidates with research potential stay focused on writing their graduate dissertations when they have reached such a stage, as well as to enhance the quality of their dissertations and the level of their research.

2. Program Implementation

This program is to be implemented by the National Applied Research Laboratories' Science & Technology Policy Research and Information Center.

3. Qualifications

3.1 An applicant must possess the following qualifications:

- The applicant must be a citizen of the Republic of China (ROC), and must have graduated from and received a bachelor's degree from a university accredited by the Ministry of Education.
- The applicant must be a full-time PhD student studying in a field of humanities or social sciences overseas. The school shall be listed on the "Foreign Universities Reference List" announced by the Ministry of Education and the applicant must have acquired the qualification of a PhD candidate, with certification documents issued by the department where the applicant is pursuing their studies, prior to the application deadline.
- The applicant's research topic must be confined to a particular field of humanities and social sciences.

3.2 The applicant's advisor must have complied with the supervisor qualification rules set by the university and department/graduate school where the applicant is pursuing their studies, and the advisor must be willing to supervise PhD students in their research.

3.3 Restrictions:

- Those who are studying or working in tertiary colleges or academic institutions in Mainland China, Hong Kong and Macau are not allowed to apply.
- Those who study or work in overseas branch schools and academic research institutions established by domestic colleges and universities are not allowed to apply.
- Those who have previously been selected as awardees for PhD candidates of TOP Grants are not allowed to apply.

4. Application Deadline

The application shall be submitted by applicants before the deadline. Late submissions will not be accepted. The application deadline for the 2023 grant is at 23:59 on May 22st, 2023 (GMT +8).

5. Application Procedure

- 5.1 The applications shall be submitted online at the program website and shall include the documents listed below. Late applications, ineligible applications, or applications with incomplete documents will not be processed. The program website for application: <https://top.stpi.narl.org.tw/>
- Application form (to be filled out online). The form includes a statement, Chinese and English abstracts (each 500 words or less), and expected effect (150 words or less).
 - Dissertation proposal (can be written in either Chinese or in English. 30 pages maximum, including the references, figures, charts, and appendices. Upload in PDF format. Maximum file size is 10 MB).
 - Basic information form about the advisor (to be filled out online).
 - Advisor's preliminary comments form (English version; to be filled out online by the advisor).
 - A list of publications (to be provided according to the personal data form in the CM302 format issued by the NSTC; maximum 2 pages). The applicant may also upload a maximum of 3 academic works published in the last 5 years that they consider most representative of their achievements.
 - Relevant certification documents proving that the applicant has acquired the qualification of PhD candidate, to be issued by the department / graduate institute where the applicant is pursuing their studies.

- All of the applicant's transcripts during their PhD studies.
- When applying for subsidies from the NSTC or other institutions (including institutions from Taiwan or overseas, Mainland China, Hong Kong or Macao) based on the same research project, the project and amount of the subsidies applied for from the NSTC and other institutions should be listed in the project application form. Applying more than once with the same project and amount is not allowed.

5.2 Please completely and accurately fill out all relevant information in Chinese and English according to the provided format of the online system in order to facilitate the review process. If the submitted information is not in Chinese or English, please include a Chinese translation.

5.3 After the application has been submitted online or the application deadline has passed, the applicant may no longer edit the application materials or submit additional materials. Applicants are reminded to check their materials carefully before submission.

6. Review Procedure

6.1 Period of Review

The review will be completed within 2.5 months from the day after the application deadline. Review results will be announced after the results are completed and approved. The period of the review may be extended if necessary.

6.2 Key Points of the Review

- The research topic's theoretical value and level of innovation.
- The level of rigor of the research content and feasibility of research plans.
- The applicant's academic potential and whether they are capable of effectively increasing the research capacity of the academic field in question.

6.3 This program does not allow for any appeals for grants that are not awarded. Review comments will not be disclosed.

7. Award Procedure

7.1 The awardees will be given research funding of NT\$900,000 per person for the duration of one year. The award period is one year (365 calendar days) starting from the agreed starting date. Awardees may discuss their starting month with the program office in accordance with the announced

rules, within the range of August 2023 to January 2024; the starting date will be the first day of the starting month. Matters related to the signing of the agreement and research funding distribution shall be conducted according to the letter of approval notification.

7.2 Research funding will be distributed in two stages:

- First stage research funding: After both parties sign an agreement and the awardee has completed the research funding claiming procedure, the research funding for the first month through the sixth month (i.e., first stage research funding) will be remitted by the program office to the participant's designated domestic bank account under their name within 30 calendar days from the day when the agreement was signed.
- Second stage research funding: The awardee shall submit a mid-term progress report pursuant to the schedule required by the program office. Research funding for the seventh month through the twelfth month (i.e., second stage research funding) will only be provided to the awardee when the progress report has been reviewed and approved by the program office.

8. Rights and Obligations

8.1 The awardee shall not receive any program research funding during the award period if they satisfy any of the following conditions; for those who have already received award program funding, they shall return the funding proportionate to the period that they had received other awards, subsidies, or salaries, or return funding in the full amount according to the agreement:

- If the awardee receives, is receiving, or has received public funding or scholarships granted by the ROC government, or by domestic legal persons, universities, or research institutions.
- The awardee shall be a full-time student studying in an overseas university during the nurturing period. If the awardee withdraws from the program due to a leave of absence, expulsion, academic grade evaluations, or other reasons, the research funding will cease to be provided to them, and they shall be required to return the program research funding that they have received thus far.

8.2 Awardees shall nominate a guarantor who shall be an ROC citizen, and who shall provide one of the following documents:

- Proof of at least 1 year of service at their current organization in Taiwan, as well as a photocopy of proof of an annual salary income of at least NT\$600,000 or proof of ownership of real estate in Taiwan with a current

value of NT\$2.8 million or more.

-A jointly issued promissory note with a face value of NT\$900 thousand, and a letter of authorization for the note.

- 8.3 During the award period, if the awardee needs to change their institution of study for any reason, they must apply to the program office 2 months before the change of their student status. Awardees will continue to receive study funds only after their application is reviewed and passed. Awardees who fail to apply within the time limit or whose applications are rejected will not receive further grant funds, and all previously received grant funds shall be returned.
- 8.4 During the period of the grant, unless there are special circumstances, the awardee shall not take leave to return to Taiwan for more than 30 days in total; furthermore, awardees must live in the country of the university they are attending for at least 180 days. If an awardee must take leave to return to Taiwan for more than 30 days, or an attendee lives in the country of the university they are attending for less than 180 days, the awardee must apply to the program office 2 months before they apply for leave or leave their country of study. Awardees who have not submitted an application that has been reviewed and approved shall be deemed to have withdrawn from this program. Such awardees will not receive further grant funds, and all previously received grant funds shall be returned.
- 8.5 After an awardee has been part of this program for 6 months or more, and their mid-term progress report has passed the review, if the awardee has obtained a formal position in an overseas education and research institution, then the awardee may apply for early termination of the program. The remaining award funds shall be returned to the program office based on the number of unfinished days.
- 8.6 If an awardee must take leave to return to Taiwan due to force majeure or other special circumstances, the awardee may submit an application to the program office, and those whose applications pass the review may apply for leave to return to Taiwan. From the 31st day after returning to Taiwan until the day of departure or the expiry of the program period, the subsidy shall be calculated at NT\$1,333 per day (partial days are counted as a full day). Awardees shall return the difference of NT\$1,167 in research funds per day to the executing unit. As a proviso to the previous paragraph, if the Awardee needs to travel to a third country aside from Taiwan or their country of study (excluding Mainland China, Hong Kong and Macau) to continue the implementation of this program, the awardee must obtain prior approval from the program office. In addition, in accordance with the application regulations or the relevant regulations of

this program, the awardee shall submit an affidavit for changing their study plan, as well as other supporting documents such as a letter of approval from their advisor or a letter of notification from their university, within 30 days after returning to Taiwan or traveling to the location outside their country of study. The program office shall review the application and decide whether to continue with the grant.

- 8.7 According to the agreement, the awardee needs to submit a mid-term progress report and a final progress report within the timeframe required by the program office. The awardee shall also present their results twice during the award period or within three years after the end of the award period, either in the form of a written report or online. The awardee shall also take part in relevant academic social events according to future development efforts and relevant explanatory materials.
- 8.8 After the awardee receives their PhD diploma, they shall submit their PhD diploma and PhD dissertation on the program website (in electronic form), both of which need to be authenticated by the ROC embassies (diplomatic missions) prior to being uploaded. If the aforementioned documents are not turned in within 3 years from the date of the end of the award program, the awardee shall be required to return the program research funding.
- If an awardee cannot turn in the aforementioned documents within the specified deadline due to special circumstances, the awardee must send a written notice, with relevant documents attached, to apply for an extension. Extensions cannot exceed two years.
 - Unless there are legitimate reasons otherwise, the submitted PhD dissertations may be used by the NSTC and its affiliated units for non-profit purposes, free of charge.
- 8.9 The acknowledgment page of the awardee's dissertation must specify that the dissertation research has been subsidized by the "2023 National Science and Technology Council Taiwanese Overseas Pioneers Grants (TOP Grants) for PhD Candidates)" (in Chinese or a foreign language).

9. Academic Ethics

If an awardee's application or PhD dissertation is found to be in violation of academic ethics, and the violation has been confirmed to be true by the academic review committee, then the awardee must unconditionally return the grant funds.

10. Other Information

10.1 Please regularly check the program website for relevant information and announcements.

Program website: <https://top.stpi.narl.org.tw/>

Contact: Taiwanese Overseas Pioneers (TOP) Grants Program Office

E-mail: topgrants@narlabs.org.tw (Contact person: Ms. Shen)

Tel: +886-2-2737-7781_

Address: 1F, No. 106, Heping East Road, Sec. 2, Taipei City 10636

10.2 Any matters not addressed in this prospectus shall be handled in accordance with the program agreement and other relevant laws and regulations.

10.3 The program office retains the right to revise, amend, and supplement this prospectus at any time.